

D.P.S

Out of School Club

**Whistleblowing
Policy**

Whistleblowing Policy and Procedure

Principles

The word 'whistleblowing' in this policy refers to the disclosure internally or externally by employees of malpractice, as well as illegal acts or omissions at work. It should be emphasised that this policy is intended to assist individuals who believe they have discovered malpractice or impropriety. It is not designed to question financial or business decisions taken by the group nor should it be used to reconsider any matters that have already been addressed under harassment, complaints, disciplinary or other procedures. Once the 'whistleblowing' procedures are in place, it is reasonable to expect staff to use them rather than air their complaints outside the group. However, it should also be highlighted that whistleblowing is not intended to replace normal grievance procedures.

Policy Statement of Intent

DPS Out of School Club is committed to the highest standards of openness, integrity and accountability. An important aspect of accountability and transparency is a mechanism to enable staff and other members of the group to voice concerns in a responsible and effective manner. It is a fundamental term of every contract of employment that an employee will faithfully serve their employer and not disclose confidential information about the employer's affairs. Nevertheless, where an individual discovers information that they believe shows serious malpractice or wrongdoing within the group, then this information should be disclosed internally without fear of reprisal.

The Public Interest Disclosure (Northern Ireland) Order 1998, which came into force on 31 October 1999, gives legal protection to employees against being dismissed or penalised by their employers as a result of publicly disclosing certain serious concerns. DPS Out of School Club has endorsed the provision set out below so as to ensure that no member of staff should feel at a disadvantage in raising legitimate concerns.

Procedures

DPS Out of School Club will comply with the terms and conditions of the Public Interest Disclosure (NI) Order 1998, Data Protection Act 1998 and Freedom of Information Act 2000.

Scope of Policy

DPS Out of School Club has a range of policies and procedures, which deal with standards of behaviour at work; they cover Discipline, Grievance, Complaints, Harassment or Victimisation, and Recruitment and Selection, among others. Employees are encouraged to use these procedures when appropriate. However, there may be times when the matter is not about your personal employment. This policy is designed to enable employees of the group to raise concerns internally and at a high level and to disclose information that the individual believes shows malpractice or impropriety. The policy covers all employees and trainees. The usual employment law restriction on minimum qualifying period and age do not apply,

however, it does not cover volunteers. The Act protects people who raise concerns about past, present and future malpractices in relation to:

- A criminal act.
- A failure to comply with legal duty (eg negligence or breach of contract).
- A miscarriage of justice.
- Danger to health and safety.
- Damage to the environment.
- Deliberately covering up any of these.

Your Safeguards

Protection

This policy is designed to offer protection to those employees of the group who disclose such concerns provided the disclosure is made:

- In good faith.
- In the reasonable belief of the individual making the disclosure that it tends to show malpractice or impropriety and if they make the disclosure to an appropriate person (see below).

Harassment or Victimisation

Harassment or victimisation of individuals, who have raised concerns, including informal pressures, will not be tolerated and will be treated as a serious disciplinary offence that will be dealt with under the disciplinary procedure. Any investigation into allegations of malpractice will not influence or be influenced by any disciplinary or redundancy procedures that may already affect you. Neither does it mean that any disciplinary or redundancy procedures to which you are already subject will be halted as a result of raising concerns.

Confidentiality

DPS Out of School Club will treat all such disclosures in a confidential and sensitive manner. The identity of the individual making the allegation may be kept confidential so long as it does not hinder or frustrate any investigation. However, the investigation process may reveal the source of the information and the individual making the disclosure may need to provide a statement as part of the evidence required.

Anonymous Allegations

This policy encourages individuals to put their name to any disclosures they make. Concerns expressed anonymously may be viewed as less credible, but they may be considered at the discretion of the management team. In exercising this discretion, the factors to be taken into account will include:

- The seriousness of the issues raised.
- The credibility of the concern.

- The likelihood of confirming the allegation from attributable sources.

Untrue Allegations

If an individual makes an allegation in good faith, which is not confirmed by subsequent investigation, no action will be taken against that individual. In making a disclosure, the individual should exercise due care to ensure the accuracy of the information. If, however, an individual makes frivolous or malicious allegations and particularly if they persist with making them, disciplinary action may be taken against that individual.

Raising a Complaint

- If you have a concern, you should not approach or accuse individuals directly or attempt to investigate the matter yourself.
- You should raise the complaint with your line manager (where the complaint is not directed at them) who will take note of the complaint and then pass this information on as soon as is practically possible, to the appropriate designated investigating officer.
- If you do not feel comfortable or feel it is inappropriate to raise your complaint with your line manager, then you can approach the Chair who will take note of the complaint and then pass this information on as soon as is practically possible to the appropriate designated investigating officer, as follows:
 - The Chairperson/manager will investigate complaints of malpractice unless the complaint is against the Chairperson/manager or is in any way related to the actions of this Chairperson/manager. In such cases, the complaint should be passed to the Vice-Chair or Secretary (whichever is appropriate) who will nominate an appropriate investigating officer.
 - In the case of a complaint, which is in any way connected with but not against the Vice-Chair or Secretary (whichever is appropriate), the Chairperson will nominate another committee member to act as the alternative investigating officer.
 - The complainant has the right to bypass the line management structure and take their complaint direct to the Chairperson. The Chairperson has the right to refer the complaint back to the line manager, if they feel that the line manager without any conflict of interest, can more appropriately investigate the complaint.
 - The designated investigating officer may use the services of a consultant on receipt of a complaint of malpractice, eg DAS Insurance.
 - The complainant may invite a trade union representative to raise the matter on their behalf.

If the complainant is unsure whether to use this policy or wants independent advice at any stage, they may contact the independent charity 'Public Concern at Work' on 020 7404 6609. Their lawyers can give free confidential advice at any stage about how to raise concerns about serious malpractice at work.

If you have a concern you can also contact Early Years at the Southern Trust on 02837564020.

If there is evidence of criminal activity (e.g. fraud), then the investigating officer should inform the police. The group will ensure that any internal investigation does not hinder a formal police investigation.

Timescales

Due to the varied nature of these sorts of complaints, which may involve internal investigators and/or the police, it is not possible to lay down precise timescales for such investigations.

The investigating officer should, as soon as practically possible send a written acknowledgement of the concern to the complainant and thereafter report back to them in writing the outcome of the investigation and the action that is proposed. If the investigation is a prolonged one, the investigating officer should keep the complainant informed in writing as to the progress of the investigation and as to when it is likely to be concluded.

All responses to the complainant should be in writing and sent to their home marked 'private and confidential'.

The Investigation

The investigating officer should follow these steps:

- Full details and clarification of the complaint should be obtained.
- The investigating officer should inform the person against whom the complaint is made as soon as is practically possible.
- The allegations should be fully investigated by the investigating officer with the assistance where appropriate of other individuals or bodies, eg Treasurer, auditor, police or 'Public Concern at Work' on 020 7404 6609.
- The investigating officer will make a judgement concerning the validity of the complaint. This judgement will be detailed in a written report containing the findings of the investigations and reasons for the judgement. The report will be passed to the Chairperson/Vice-Chair or Secretary as appropriate.
- The Chairperson/Vice-Chair will decide what action to take if the complaint is shown to be justified, and then they will invoke the disciplinary or other appropriate procedures.
- The complainant should be kept informed of the progress of the investigations and, if appropriate, of the final outcome.
- If appropriate, a copy of the outcomes will be passed to the Treasurer or the auditor. These outcomes may result in the group reviewing and updating their policies and procedures.
- If the complainant is not satisfied that their concern is being properly dealt with by the investigating officer, they have the right to raise it in confidence with another committee member, or one of the designated persons described above.
- If the investigation finds the allegations unsubstantiated and all internal procedures have been exhausted, but the complainant is not satisfied with the outcome of the investigation, the group recognises the lawful rights of employees and ex-employees to make disclosures to organisations and

individuals detailed in the Public Interest Disclosure (Prescribed Persons)
(Amended) Order 2012.

Monitoring

This policy will be reviewed annually by the management team to ensure it remains fit for purpose.

This policy was adopted by DPS Out of School Club management team.

Signed: D. Thompson
(on behalf of the management team)

Position: Chairperson

Date: 26/7/2016

Reviewed on:

Date: September 2017 Signed: D. Thompson

Date: December 2018 Signed: D. Thompson

Date: October 2020 Signed: D. Thompson